

Bank of Communications Co.,Ltd. Hong Kong Branch – Securities Trading Services Charges (Effective Date: 30 May 2011)		
Service Item(Charge Categories)	Rates / Fees	Minimum Charge
Local Securities		
(A)Trade-related Services		
Brokerage Commission – Non electronic trading channels (Securities counter in branch / Operator-assisted securities hotline)	Transaction amount per trade below HKD/RMB 50,000: 0.50% of transaction amount	HKD/RMB 100 per trade
	Transaction amount per trade HKD/RMB 50,000 to below HKD100,000: 0.35% of transaction amount	
	Transaction amount per trade HKD/RMB 100,000 or above: 0.25% of transaction amount	
	BComBEST Customers: 0.20% of transaction amount	
	BOCOM FORTUNE Customers: 0.25% of transaction amount	
Brokerage Commission - Electronic trading channels Internet / Automated IVR Telephone / Kiosk / Mobile Banking)	Any transaction amount, 0.25% of transaction amount per trade	HKD/RMB 100 per trade
	BComBEST Customers: Any transaction amount, 0.125% of transaction amount per trade	
	BOCOM FORTUNE Customers: Any transaction amount, 0.15% of transaction amount per trade	
Stamp Duty (Collected for the HKSAR Government)	0.1% of transaction amount per trade(Rounded up to the nearest HKD dollar)	*****
Transaction Levy (Collected for the SFC)	0.003% of transaction amount per trade	*****
HKEx Trading Fee (Collected for the SEHK)	0.005% of transaction amount per trade	*****
Transaction Fee	Waived	*****
(B)Physical Scrip Handling and Settlement Related Services		
Physical Scrip Handling		
Physical Scrip Deposit Transaction Fee	HKD/RMB 100 per transaction (per stock)	*****
Selling Physical Scrip Service Fee (Note 1)	HKD/RMB 200 per transaction (per stock) - Physical Scrip Deposit Transaction Fee and Trade-related services fee are excluded	*****
<i>Note 1: Such service fee is applicable within 14 working days from the date of the stock listed on HKEX and market value on the date of deposit of new share of HKD/RMB 30, 000 or below</i>		
Transfer Deed Stamp Duty (Collected for the HKSAR Government)	HKD5 per transfer deed	*****
Physical Scrip Withdrawal (Customers should register the shares in their names themselves)	HKD/RMB 10 per lot	HKD/RMB 100 per stock
Settlement Related Services		
Shares Deposit via CCASS (For electronic share)	Waived	*****
Shares Withdrawal via CCASS (For electronic share)	HKD/RMB 10 per lot	HKD/RMB 100 per stock
(C)Account Maintenance		
Safe Custody Charge	HKD10/month (per account)	*****
	Waiver for all customers whose securities account have securities trading record during that month (Not applicable to the transaction of the monthly Saving Investment Plan)	
	Waiver for BComBest customer & BOCOM FORTUNE customer	
(D)Nominee Services and Corporate Actions		
Collection of Dividend		
Cash Dividend	0.5% of dividend amount (Maximum Charge : HKD/RMB 2,500)	HKD/RMB 20
Scrip Dividend	0.5% of dividend amount (Maximum Charge : HKD/RMB 2,500)	HKD/RMB 30
Bonus Issues	HKD/RMB 30 per issues	*****
Corporate Actions		
Exchange of shares, right issues, privatization, takeover, share placement, initial public offer, rights exercise etc.	HKD/RMB 2 per lot (Maximum Charge : HKD/RMB 2,500) (Fees imposed by Registrar will be counted separately)	HKD/RMB 100
Share Consolidation / Subdivision	HKD/RMB 30 per action	*****

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(E)Other Services		
Registration at share registrar on behalf of customer	HKD/RMB 10 per lot for each stock plus Share Registrar charge	HKD/RMB 100 per stock
Entitlement Claim		
Application Fees (Fees levied irrespective of whether the claim is successful or not)	HKD150 per claim, plus HKD 20 per lot	HKD170
Entitlement Fees for dividend claimed (Plus brokers/HKSCC service charges)	0.5% of dividend amount collected	HKD/RMB 20
Entitlement Fees for bonus shares/rights etc. claimed (Plus brokers/HKSCC service charges)	HKD/RMB 5 per lot for claim on bonus issues and rights issues	
IPO Subscription Services		
Yellow Form Application	HKD/RMB 100 per application (The charges will be levied irrespective of whether the application is successful or not)	*****
CCASS EIPO Application (EIPO)	HKD/RMB 100 per application (The charges will be levied irrespective of whether the application is successful or not)	*****
Share placement	HKD/RMB 100 per application (The charges will be levied irrespective of whether the application is successful or not)	*****
Financing Services		
IPO Financing	Service Fee HKD/RMB 100 and Loan Commission Fee HKD/RMB 100 per application (The charges will be levied irrespective of whether the application is successful or not)	*****
(F)Information Services		
Internet stock quote service (Real-Time)	Basic Quote:(cannot accumulate) General Account: 200 free quotes per month BOCOM FORTUNE Account:300 free quotes per month BComBEST Account: 500 free quotes per month	*****
	Extra Quote: (can accumulate) Customers can get 50 free quotes tomorrow after processing one successful trading order	
	Self-purchase Quote:(can accumulate) Customers can buy 500 quotes per HK\$50	
I-Trade Streaming Quote Service (Real-Time)	HK\$348 per month (Remarks: If the monthly commission accumulates HK\$4,000 or more, service fee of the following month will be waived)	*****
Singapore Securities - Jardine Group Stocks*		
Brokerage Fee	Any transaction amount, 0.5% of the transaction amount per trade	USD20 per trade
Clearing Fee	Any transaction amount, 0.04% of the transaction amount per trade	*****
SGX Trading Access Fee	Any transaction amount, 0.0075% of the transaction amount per trade	*****
Custody Fee	Portfolio value x 0.06%/12 per month	USD10 per month
US Securities listed in Hong Kong*		
Types of Charges	Fees and Charges	
Stock maintenance fee	Monthly Charges of HKD0.25 per 100 shares (or fewer). The fee is calculated on the daily average stock balance of PP securities in stock accounts of each participant for the month and is payable monthly. No stock custody fee will be charged.	
Stock withdrawal fee	HKD600 per instruction plus out-of-pocket expenses incurred by HKSCC. The fee also applies to the withdrawal of securities entitlements accruing from PP securities which are not eligible securities.	
Handling fee for warrant conversions, voluntary takeovers, tender offers, or open offers	HKD600 per instruction plus out-of-pocket expenses incurred by HKSCC.	
Cross-border transfer fee for receipts / deliveries of Pilot Programme Securities#	HKD200 per receipt / delivery instruction plus out-of-pocket expenses charged to HKSCC by DTC in which the securities are transferred to or from HKSCC. In addition, HK shareholders may be charged a fee by their appointed agents / brokers offering this services in HK and in the US.	

*Except listed charges, all other charges are the same as those for local securities.

#The Cross-border transfer fee will be charged for the transfer of securities between DTC and CCASS only. This fee will not be charged on the settlement of trades concluded in HK.

Fees and charges determined and collected by Government of Hong Kong SAR, HKEX or SFC are subject to change without prior notice.

Bank of Communications Co. Ltd., reserves the right to revise any charges, special offers and other services from time to time.

Issued by Bank of Communications Co., Ltd. Hong Kong Branch (Incorporated in the People's Republic of China)